



3624
PATENT
ATTORNEY DOCKET: 72167.000158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/495,393 Confirmation No.: 4790
Applicant : Albert Kwang-Hwa SUN
Filed : January 31, 2000
Title : SYSTEM AND METHOD FOR INTEGRATING TRADING OPERATIONS INCLUDING THE GENERATION, PROCESSING AND TRACKING OF AND TRADE DOCUMENTS
TC/Art Unit : 3624
Examiner: Richard Weisberger

Docket No. : 72167.000158
Customer No. : 21967

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications under 35 U.S.C. 371, are not being provided.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not

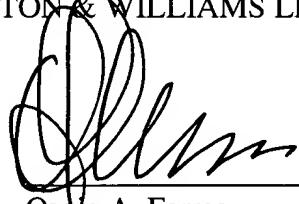
to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited references. Any such markings were either present on the copies of the cited references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

This Information Disclosure Statement is being submitted after the mailing of a non-final Office Action, but is believed to be prior to a final Office Action or a Notice of Allowance. Pursuant to 37 C.F.R. § 1.97(c)(2), a check in the amount of \$180.00 as set forth in § 1.17(p) is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP



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Sheet

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Application Number

09/495,393

Filing Date

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First Named Inventor

Albert Kwang-Hwa SUN

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U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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2.	US	005477040	12-19-1995	Lalonde, Michael	
3.	US	005502576	03-26-1996	Ramsay et al.	
4.	US	005717989	02-10-1998	Tozzoli et al.	
5.	US	005757917	05-26-1998	Rose et al.	
6.	US	006374235	04-16-2002	Chen et al.	
7.	US	006418430	07-09-2002	Defazio et al.	
8.	US	2001/0018739	08-30-2001	Anderson et al.	
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10.	US	2001/0047334	11-29-2001	Nappe et al.	
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13.	US	2002/0013728	01-31-2002	Wilkman	
14.	US	2002/0026394	02-28-2002	Savage et al.	
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18.	US	2002/0077978 A1	06-20-2002	O'Leary et al.	
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21.	US	2002/0107770	08-08-2002	Meyer et al.	
22.	US	2002/0107788	08-08-2002	Cunningham	
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26.	US	2002/0194096	12-19-2002	Falcone et al.	
27.	US	2002/0198817	12-26-2002	Dhir	
28.	US	2002/0199182	12-26-2002	Whitehead	
29.	US	2003/0018557	01-23-2003	Gilbert et al.	
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32.	US	2003/0105641	06-05-2003	Lewis	
33.	US	2003/0208421 A1	11-06-2003	Vicknair et al.	
34.	US	2003/0208441 A1	11-06-2003	Poplawski et al.	
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37.	US	2004/0078328 A1	04-22-2004	Talbert et al.	
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40.	US	4205780	06-03-1980	Burns, Emmett	
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42.	US	4396985	08-02-1983	Ohara	
43.	US	4495018	01-22-1985	Vohrer	
44.	US	4617457	10-14-1986	Myers	
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	49.	US 4799156	01-17-1989	Shavit	
	50.	US 4812628	03-14-1989	Boston	
	51.	US 4823264	04-18-1989	Deming	
	52.	US 4931793	06-05-1990	Fuhrmann et al.	
	53.	US 4948174	08-14-1990	Thomson et al.	
	54.	US 4974878	12-04-1990	Josephson	
	55.	US 4988849	01-29-1991	Sasaki	
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	60.	US 5080748	01-14-1992	Bonomi	
	61.	US 5111395	05-05-1992	Smith	
	62.	US 5121945	06-16-1992	Thomson et al.	
	63.	US 5122950	06-16-1992	Mee	
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	70.	US 5283829	02-01-1994	Anderson	
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	78.	US 5373550	12-13-1994	Campbell	
	79.	US 5396417	03-07-1995	Burks	
	80.	US 5402474	03-28-1995	Miller	
	81.	US 5412190	05-02-1995	Kopesec	
	82.	US 5424938	06-13-1995	Wagner	
	83.	US 5430644	04-04-1995	Deaton et al.	
	84.	US 5432506	07-11-1995	Chapman	
	85.	US 5444794	08-22-1995	Uhland	
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	87.	US 5446740	08-29-1995	Yien	
	88.	US 5448471	09-05-1995	Deaton et al.	
	89.	US 5465206	11-07-1995	Hilt et al.	
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	91.	US 5483445	01-09-1996	Pickering	
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	96.	US 5532464	07-02-1996	Josephson et al.	

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	97.	US 5544046	08-06-1996	Niwa	
	98.	US 5550734	08-27-1996	Tater	
	99.	US 5551021	08-27-1996	Harada	
	100.	US 5557515	09-17-1996	Abbruzzese et al.	
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	104.	US 5570465	10-29-1996	Tsakanikas	
	105.	US 5572004	11-05-1996	Raimann	
	106.	US 5583759	12-10-1996	Geer	
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	108.	US 5590196	12-31-1996	Moreau	
	109.	US 5590197	12-31-1996	Chen	
	110.	US 5592377	01-07-1997	Lipkin	
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	112.	US 5599528	02-04-1997	Igaki	
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Art Unit **3624**

Examiner Name **Richard Weisberger**

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122.	US	5666493	09-09-1997	Wojcik et al.	
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131.	US	5715298	02-03-1998	Rogers	
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134.	US	5724424	03-03-1998	Gifford	
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139.	US	5774553	06-30-1998	Rosen	
140.	US	5784696	07-21-1998	Melnikof	
141.	US	5793861	09-11-1998	Haigh	
142.	US	5794221	08-11-1998	Egendorf	
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149.	US	5832447	11-03-1998	Rieker	
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151.	US	5832463	11-03-1998	Houvenier et al.	
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156.	US	5852812	12-22-1998	Reeder	
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163.	US	5873072	02-16-1999	Kight	
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194.	US	6032137	02-29-2000	Hallard	
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196.	US	6035285	03-07-2000	Schlect et al.	
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210.	US	6073104	06-06-2000	Field	
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213.	US	6078907	06-20-2000	Lamm	
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**INFORMATION DISCLOSURE
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Application Number

09/495,393

Filing Date

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First Named Inventor

Albert Kwang-Hwa SUN

Art Unit

3624

Examiner Name

Richard Weisberger

Attorney Docket Number

72167.000158

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		First Named Inventor	Albert Kwang-Hwa SUN
		Art Unit	3624
		Examiner Name	Richard Weisberger
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